To:

#### PCT

NOTIFICATION CONCERNING TRANSMITTAL OF COPY OF INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (CHAPTER I OF THE PATENT COOPERATION

(PCT Rule 44bis, I(c))

TREATY)

From the INTERNATIONAL BUREAU

IMPORTANT NOTICE

DIPPERT, William, H. Wolf, Block, Schorr & Solis-Cohen LLP 250 Park Avenue New York, New York 10177

ETATS-UNIS D'AMERIQUE

Date of mailing (day/month/year) 21 June 2007 (21.06.2007) Applicant's or agent's file reference 015/04972

34446 International application No. PCT/US2005/044557

International filing date (day/month/year) 09 December 2005 (09.12.2005)

Priority date (day/month/year) 09 December 2004 (09.12.2004)

Applicant

IMPULSE DYNAMICS (ISRAEL), LTD. et al

The International Bureau transmits herewith a copy of the international preliminary report on patentability (Chapter 1 of the Patent Cooperation

The International Bureau of WIPO 34, chemiu des Colombettes 1211 Geneva 20, Switzerland

Authorized officer

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Form PCT/IB/326 (January 2004)

### PATENT COOPERATION TREATY

## **PCT**

## INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

(Chapter I of the Patent Cooperation Treaty)

(PCT Rule 44bis)

| Applicant's or agent's file reference<br>015/04972                         | FOR FURTHER ACTION  | See item 4 below  |
|--|---|---|
| International application No.<br>PCT/US2005/044557                         | International filing date (day/month/year)<br>09 December 2005 (09.12.2005) | Priority date (day/month/year)<br>09 December 2004 (09.12.2004) |
| International Patent Classification (8<br>See relevant information in Form | th edition unless older edition indicated)<br>PCT/ISA/237                   | 1   |
| Applicant<br>IMPULSE DYNAMICS (ISRAEL),                                    |   |   |

| 1. | This international proliminary report on patentability (Chapter I) is issued by the International Bureau on behalf of the International Searching Authority under Rule 44 Iss. I(a).  |   |  |  |  |
|----|---|---|--|--|--|
| 2. | <ol> <li>This REPORT consists of a total of 7 sheets, including this cover sheet.</li> <li>In the attached sheets, any reference to the written opinion of the International Scarching Authority should be read as a reference to the international preliminary report on patentability (Chapter I) instead.</li> </ol> |   |  |  |  |
| 3. | This report contains indications  | relating to the following items:  |  |  |  |
|    | Box No. I   | Basis of the report   |  |  |  |
|    | Box No. II  | Priority  |  |  |  |
|    | Box No. III   | Non-establishment of opinion with regard to novelty, inventive step and industrial applicability  |  |  |  |
|    | Box No. IV  | Lack of unity of invention  |  |  |  |
|    | Box No. V   | Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement                                     |  |  |  |
|    | Box No. VI  | Certain documents cited   |  |  |  |
|    | Box No. VII   | Certain defects in the international application  |  |  |  |
|    | Box No. VIII  | Certain observations on the international application   |  |  |  |
| 4. | The International Bureau will co not, except where the applicant r date (Rule 44bis .2).  | ommunicate this report to designated Offices in accordance with Rules 44bis.3(e) and 93bis.1 but makes an express request under Article 23(2), before the expiration of 30 months from the priority |  |  |  |

|  | Date of issuance of this report<br>13 June 2007 (13.06.2007) |
|--|--|
| The International Bureau of WIPO<br>34, chemin des Colombettes<br>I 211 Geneva 20, Switzerland | Authorized officer  Dorothée Mülhausen                       |
| Facsimile No. +41 22 338 82 70   | e-mail: pt01.pct@wipo.int                                    |
| Form PCT/IB/373 (January 2004)   |  |

### PATENT COOPERATION TREATY

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| INTERN  |  | CHING ALION                         | Ohlmi  |                                    |                             | 1 3 MAI 2000  |
|---|--|-------------------------------------|--|------------------------------------|-----------------------------|---|
| INTERNATIONAL SEARCHING AUTHORITY To:   |  |                                     | 1  |                                    | WIPO PCT                    |   |
| WILLIAM H. DIPPERT  |  |                                     |  | R D                                | CTMAY 2006                  |   |
| 230 PA  | WOLF, BLOCK, SCHORR & SOLIS-COHEN LLP<br>250 PARK AVENUE   |                                     |  |                                    | -                           | -   |
| NEW A   | NEW YORK, NY 10177   |                                     | WRITTEN OPINION OF THE                                 |                                    |                             |   |
|   |  |                                     | INTERNATIONAL SEARCHING AUTHORITY                      |                                    |                             |   |
| 1   |  |                                     |  |                                    | (PCT R                      | tule 43bis.1)   |
|   |  |                                     |  |                                    |                             |   |
| Anati   |  |                                     |  | Date of mailin<br>(day/month/ye    | g 19 N                      | AY 2006   |
| 1   | nt's or agent's file   | reference                           |  | FOR FURTHER ACTION                 |                             |   |
| 015/049   | 72<br>ional application N  |                                     |  |                                    | See paragra                 | ph 2 below  |
| 1   |  | No.                                 | International filing date                              |                                    | Priority da                 | te (day/month/year)   |
| Internati   | 05/44557<br>onal Patent Classi   | Fination (IDC)                      | 09 December 2005 (09.1<br>r both national classificati | 2.2005)                            |                             | 05 (05.05,2005)   |
| IPC:  | 461N 1/00/ 200   | reation (IPC) o                     | r both national classificati                           | on and IPC                         |                             |   |
| USPC:   |  | 5.01);A61N 1/3<br>3.1,375           | 2( 2006.01);C12N 13/00(                                | 2006.01);C12N                      | 5/00( 2006.01)              |   |
| Applican  | ıt .   |                                     |  |                                    |                             |   |
| IMPULS  | E DYNAMICS (I  | SRAEL), LTD.                        |  |                                    |                             |   |
| 1 754   |  |                                     |  |                                    |                             |   |
| 1. 1 ms   | opinion contains   | indications relat                   | ing to the following items                             | :                                  |                             |   |
|   | Box No. I Basis of the opinion   |                                     |  |                                    |                             |   |
|   | Box No. II Priority  |                                     |  |                                    |                             |   |
|   |  |                                     |  |                                    |                             |   |
| 一百  | of opinion with regard to novelty, inventive step and industrial applicability   |                                     |  |                                    |                             |   |
| $\square$   | Sold alloy of invention  |                                     |  |                                    |                             |   |
|   | Box No. V Reasoned statement under Rule 43bis.1(a)(i) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement |                                     |  |                                    |                             |   |
|   | Box No. VI   | Certain docun                       | nents cited  |                                    |                             |   |
| $\boxtimes$   | Box No. VII  | Certain defect                      | in the international appli                             | cation                             |                             |   |
| Ш   | Box No. VIII   | Certain observ                      | ations on the internationa                             | application                        |                             |   |
| 2. FUR  | THER ACTIO   |                                     |  |                                    |                             |   |
| If a de   | emand for interna  | tional musticul                     | Pl evenient 1  |                                    |                             |   |
|   |  |                                     |  |                                    |                             | to be a written opinion of the<br>acre the applicant chooses an |
| that w  | ritten opinions of   | this Internationa                   | IPBA and the chosen IPI<br>I Searching Authority wil   | nas notified t<br>not be so consid | the International<br>dered. | here the applicant chooses an Bureau under Rule 66.1bis(b)      |
|   |  |                                     |  |                                    |                             |   |
| IPEA :  | a written reply tog  | ovided above, c<br>gether, where ar | onsidered to be a written                              | opinion of the I                   | PEA, the applic             | ant is invited to submit to the                                 |
| If this opinion is, as provided above, considered to be a written opinion of the IPEA, the applicant is invited to submit to the IPEA a written reply together, where appropriate, with amendments, before the expiration of 3 months from the date of mailing of Form PCTISA/220 or before the expiration of 22 months from the priority date, whichever expires later.  For further options, see Form PCTISA/220. |  |                                     |  |                                    |                             |   |
| FOI 1UT   | ther options, see I  | orm PCT/ISA/                        | 220.   |                                    |                             | as mo.  |
| 3. For fur  | ther details, see no   | otes to Form DC                     | P/ICA MOO  |                                    |                             |   |
| 3. For further details, see notes to Form PCT/ISA/220.  |  |                                     |  |                                    |                             |   |
| Name and r  | nailing address of   | the ISA/US                          | Date of completion                                     | of this said                       | L Aud · ·                   |   |
| Ma  | ail Stop PCT, Atta: 1  | RA/US                               | 1  | -                                  | Authorized off              | locer / / /   |
| Commissioner for Patents<br>P.O. Box 1450 28 April 2006 (28.0   |  |                                     | 14.2006)   | Robert E Pezz                      | uto la sur a la bra         |   |
| Ak<br>acsimile No   | exandria, Virginia 2:<br>5- (571) 273-3201   | 2313-1450                           | 1  |                                    | Telephone No.               | 571.50 2700   |
| m PCT//SA/237 (cover sheet) (April 2005)  |  |                                     |  |                                    |                             |   |

# WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

| International application No. |
|-------------------------------|
| PCT/US05/44557                |

| INTERNATIONAL SEARCHING AUTHORITY   | PCT/US05/44557   |
|---|--|
| Box No. I Basis of this opinion   |  |
| Note that the language, this opinion has been established on the basis of the international application in the language in which it was filed a translation of the international application into which is the language in the language in which is the language in language in the language in l |  |
| filed together with the international application in electronic form.   |  |
| furnished subsequently to this Authority for the purposes of search.  |  |
| 3. In addition, in the case that more than one version or copy of a sequence listion or furnished, the required statements that the information in the subsequent application as filed or does not go beyond the application as filed, as appropriate the application as filed. 4. Additional comments:   | ng and/or table(s) relating thereto has been filed<br>to radditional copies is identical to that in the<br>late, were furnished. |
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# WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No. PCT/US05/44557

| INTERNATIONAL SEARCHIN   |  |                                |                        |
|--|--|--------------------------------|------------------------|
| Box No. V Reasoned statement under Rul<br>applicability; citations and exp | e 43 <i>bis</i> .1(a)(i)<br>lanations sunr | with regard to novelty, invent | ive step or industrial |
| 1. Statement   |  | ang otten statement            |                        |
| Novelty (N)  | Claims                                     | 79                             |                        |
|  |  | 1.00                           | YE:                    |
| Inventive step (IS)  | Claima                                     | MONE                           |                        |
| ,  | Claims                                     |                                | YES                    |
| Industrial applicability (IA)  | GI .                                       |                                |                        |
| and applicationity (Irs)   | Claims<br>Claims                           | 1-154<br>NONE                  | YES                    |
|  |  |                                | N0                     |
| Citations and explanations:  |  |                                |                        |
| ease See Continuation Sheet.   |  |                                |                        |
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# WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No.

PCT/US05/44557

| Box No. VII | Certain defects in | the international | application |
|-------------|--------------------|-------------------|-------------|
|             |                    |                   |             |

The following defects in the form or contents of the international application have been noted:

Claim 46 objected to under PCT Rule 66.2(a)(iii) as containing the following defect(s) in the form or contents thereof: The claim lacks reference to the claim from which it depends. The claims states "a method according to", but does not specify from which claim it depends.

#### WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No. PCT/US05/44557

| Sun | plemental | 10 |
|-----|-----------|----|
|     |           |    |

In case the space in any of the preceding boxes is not sufficient,

V. 2. Citatious and Explanations: Claims 1-76, 81-90, 97-133, and 149-154 lack novelty under PCT Article 33(2) as being anticipated by Donovan et al. (U.S. Patent No.

Regarding claims 1-74, 81-90, 97-120, 129, 130, and 149-154, Donovan discloses a stimulation device which applies an electric field to the heart of a patient in order to modify the activity of cardiac proteins and treat conditions such as coronary artery disease and ischemia (see oc.) 2, in. 19-26). The device includes at least one electrode 3 applied to in vivo tissue and a controller 1 to control delivery of stimulation to the tissue (see Figure 1). It is inherent that the modified proteins are not essentive to physiologically occurring inter-cellular electric fields, otherwise the electrical therapy would be unnocessary. The electrical energy applied by Donovan acts to enhance angiogeness is order to repair the heart and ensure that coyagen is a dequately supplied to the body. Donovan discloses that the electrical energy is applied at a subthreshold level in order to prevent excitation of the heart tissue (seco cl. 2, in 27-28). The method taught by Donovan is inherently capable of being applied to any number of tissue forms, including a tissue sample, in vivo tissue, separated cells, and tissue homogenate. Further, Donovan discloses programming the device to apply stimulation at a level which produces a desired effect and measuring the effect the stimulation has on the tissue sample (see Claim 28).

Regarding claims 75 and 76, the pulse sequence delivered by Donovan is chosen in order to have the greatest effect on the desired protein activity modification to treat coronary artery disease and the controller is programmed to carry out the prescribed stimulation parameters (see col. 9, ln. 23-44).

Regarding claims 121-123 and 128, it is inherent within the method of Donovan that when the electrodes are placed on the heart of the patient, either transvenously or non-transvenously, a testing procedure must be enlisted in order to custure that the placement of the electrodes is suitable for applying the desired stimulation.

Regarding claims 124-127 and 131-133, Donovan discloses that the therapy is applied to the heart of the patient and the location of the stimulation is based on the desired effect of the stimulation therapy (see col. 9, ln. 9-17). Further, Donovan discloses that the stimulation therapy may be applied in order to treat ischemia (see col. 1, ln. 32-33).

#### WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

International application No. PCT/US05/44557

Supplemental Box

In case the space in any of the preceding boxes is not sufficient.

Claims 77, 78, and 80 lack novely under PCT Article 33(2) as being anticipated by Girouard et al. (U.S. 2004/0158289), herein Girouard. Girounoud discloses a method for conditioning living tissue through electrical therapy which includes providing tissue samples of the patient (see paragraph 74). Further, fire tissue samples with a patient (see paragraph 74). Further, fire tissue utilized by the Girouard is disclosed to be eardiac tissue (see paragraph 30, In. 1-0). The cell therapy which is administered to the tissue in block 110 constitutes genetic modification of the tissue bring treated (see Figures 1 and 16).

Claims 91-96, and 134-148 lack novelty under PCT Article 33(2) as being anticipated by Ladner et al. (U.S. Patent No. 5/962,246), herein Ladner. Ladner discloses a biochemical assaying kit for determining biochemical activity in relation to biochemical markers associated with genes, which includes an indicator of protein phosphorylation (see col. 12, ln. 58-60). The kit of Ladner is disclosed to be used to determine the changes in the proliferation status of a tissue due to various stimuli, including electrical stimulation (see col. 12, ln. 8-11 and 50-51), therefore it is inherent that the kit includes instructions for using phosphorylation as an indicator of tissue state. Further, Ladner discloses indicators for protein or mRNA expression levels (see col. 15, ln. 43-58).

Claim 79 lacks an inventive step under PCT Article 33(3) as being obvious over Girouard. Girouard discloses the claimed invention except for the excision of the plugs from a same heart into which they are later implanted. However, it is well known in the field of tistoe engineering to explant a tissue plug from a patient, treat it either through electrical stimulation or drug therapy, and re-implant it into its original location.

Claims 1-154 meet the criteria set out in PCT Article 33(4), and thus have industrial applicability because the subject matter claimed can be made or used in industry.